

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
27 March 2003 (27.03.2003)

PCT

(10) International Publication Number  
WO 03/025458 A1

(51) International Patent Classification<sup>7</sup>: F21V 19/02, 14/06

(74) Agent: CORNELLY, John, P.; Fay, Sharpe, Fagan, Minnich & McKee, LLP, 1100 Superior Avenue, Seventh floor, Cleveland, OH 44114 (US).

(21) International Application Number: PCT/US02/29561

(22) International Filing Date:  
17 September 2002 (17.09.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/682,535 17 September 2001 (17.09.2001) US  
09/683,395 21 December 2001 (21.12.2001) US

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

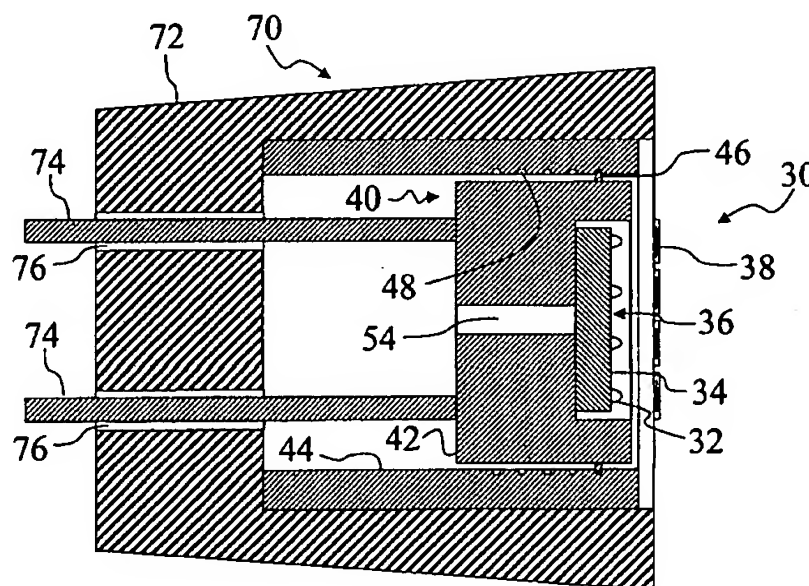
(71) Applicant: GELCORE LLC [US/US]; 6180 Halle Drive, Valley View, OH 44125-4635 (US).

(72) Inventors: SOMMERS, Mathew; 8635 Pinecreek Lane, Sagamore Hills, OH 44067 (US). PETROSKI, James, T.; 2688 Sherwood Drive, Parma, OH 44134 (US).

Published:  
— with international search report

[Continued on next page]

(54) Title: VARIABLE OPTICS SPOT MODULE



(57) Abstract: A spot module that has a selectable light output includes a substrate (14, 34, 84, 112, 142, 152). A plurality of optical sources (114A, 114B, 114C, 114D) are arranged on the substrate (14, 34, 84, 112, 142, 152). Each optical source (114A, 114B, 114C, 114D) includes at least one light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B), and at least one optical element (18, 38, 88) in operative communication with the at least one light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B) and having a pre-defined optical prescription. A zoom apparatus (20, 40, 90) supports the optical elements (18, 38, 88) of the optical sources (114A, 114B, 114C, 114D). The zoom apparatus (20, 40, 90) adjusts an axial separation between the at least one light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B) of each optical source (114A, 114B, 114C, 114D) and its corresponding at least one optical element (18, 38, 88).

WO 03/025458 A1



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

- 1 -

## VARIABLE OPTICS SPOT MODULE

### BACKGROUND OF THE INVENTION

### FIELD OF THE INVENTION

The invention relates to the lighting arts. It is especially applicable to the packaging of light emitting diodes (LED's) to form a spot light, flashlight, or other lamp type that produces a collimated or partially collimated beam, and will be described with particular reference thereto. However, the invention will also find application in packaging of LED's, semiconductor lasers, halogen bulbs, and other light emitting elements for spot lighting, flood lighting, and other optical applications.

### DISCUSSION OF THE ART

Spot light lamps emit a collimated or partially collimated beam of light (e.g., a conical beam), and are employed in room lighting, hand-held flash lights, theater spot lighting, and other applications. Examples of such lamps include the MR-series halogen spot lights which incorporate an essentially non-directional halogen light bulb arranged within a directional reflector, such as a parabolic reflector. The MR-series halogen spot lights are commercially available with or without a front lens, and typically include electrical connectors disposed behind the parabolic reflector, i.e. outside of the range of the directed beam. The reflector, optionally in cooperation with a front lens, effectuates collimation of the halogen light bulb output to produce the collimated or conical light beam. The MR-series spot lights are available in a range of sizes, wattages, color temperatures, and beam angles. However, the MR-series spot lights do not include adjustable beams.

The Maglite® flashlight is a prior art device that has an adjustable spot beam. An incandescent light bulb is arranged inside an essentially parabolic reflector. This device effectuates a variable beam angle ranging from

- 2 -

a narrow spot beam to a wide, "flood" beam, by including a rotating actuator for moving the reflector axially with respect to the incandescent bulb. This arrangement suffers from significant beam non-uniformity when the light source is strongly defocused. Under conditions of extreme defocusing, the Maglite® flashlight beam exhibits a black spot at the beam's center.

Lamps which utilize one or more LED's as the source of light are becoming more attractive as the light output intensities of commercial LED's steadily increase over time due to design, materials, and manufacturing improvements. Advantageously for spot module applications, commercial LED's typically have a lensing effect produced by the epoxy encapsulant that is usually employed to seal the LED chip from the environment. Hence, these commercial LED's are already somewhat directional, and this directionality can be enhanced using an external lens. Additionally, LED's that emit white light of reasonably high spectral quality are now available. In spite of continuing improvements in LED light output, at present an individual LED is typically insufficiently bright for most lighting applications. Nonetheless, due to the small size of LED's, this intensity limitation can be obviated through the use of a plurality of closely packed LED's that cooperate to produce sufficient light.

Application of LED's to spot lighting applications, and especially to spot lighting applications in which the LED-based lamp is contemplated as a retrofit for replacing an existing lamp that employs another lighting technology (e.g., a retrofit for replacing an MR-series halogen lamp) is complicated by the use of multiple LED's as the light source. The spatially distributed nature of an LED source array greatly reduces the effectiveness of conventional parabolic reflectors which are designed to collimate and direct light emanating from a point source, such as light generated by a halogen or incandescent bulb filament. Furthermore, a front lens of the type optionally included in an MR-series halogen spot lamp is ill-suited for collimating light from a plurality of LED's, because most of the LED's are not positioned on the optical axis of the lens. Thus, the optical systems of existing spot lamps, both with and without variable beam angle, are relatively ineffective when used in conjunction with LED light sources.

- 3 -

The present invention contemplates an improved light source or lamp that overcomes the above-mentioned limitations and others.

#### BRIEF SUMMARY OF THE INVENTION

In accordance with one embodiment of the present invention, a spot module that has a selectable light output includes a substrate. A plurality of optical sources are arranged on the substrate. Each optical source includes at least one light emitting diode, and at least one optical element in operative communication with the at least one light emitting diode and having a pre-defined optical prescription. A zoom apparatus supports the optical elements of the optical sources. The zoom apparatus adjusts an axial separation between the at least one light emitting diode of each optical source and its corresponding at least one optical element.

In accordance with another embodiment of the present invention, a lamp is disclosed. An LED module includes at least one LED arranged on a substrate. An optical system includes at least one lens in optical communication with the LED module. A zoom apparatus selectively adjusts the relative axial separation of the optical system and the LED module.

In accordance with yet another embodiment of the present invention, a lamp is disclosed, that includes a substrate. A first lighting unit includes a first light emitting diode (LED) arranged on the substrate and a first lens element having a first optical prescription and being arranged to interact with light produced by the first LED. A second lighting unit includes a second light emitting diode (LED) arranged on the substrate and a second lens element having a second optical prescription and being arranged to interact with light produced by the second LED.

Numerous advantages and benefits of the present invention will become apparent to those of ordinary skill in the art upon reading and understanding the following detailed description.

- 4 -

**BRIEF DESCRIPTION OF THE DRAWINGS**

The invention may take form in various components and arrangements of components, and in various steps and arrangements of steps. The drawings are only for purposes of illustrating a preferred embodiment and are not to be construed as limiting the invention.

FIGURE 1 shows an isometric view of a zoomable spot lamp that suitably practices an embodiment of the invention.

FIGURE 2 shows a schematic cross-sectional view of a zoomable spot lamp that suitably practices an embodiment of the invention, the lamp being shown as adjusted to produce a wide-angle flood beam.

FIGURE 3 shows a schematic cross-sectional view of the lamp of FIGURE 2, adjusted to produce a narrow-angle spot beam.

FIGURE 4 shows a front view of the lamp of FIGURE 2, looking directly into the beam, with dotted lines indicating the hidden sleeves of the zoom apparatus and the interlocking mechanism.

FIGURE 5 shows a schematic cross-sectional view of the lamp of FIGURE 2 in a first mounting configuration.

FIGURE 6 shows a schematic cross-sectional view of the lamp of FIGURE 2 in a second mounting configuration.

FIGURE 7 shows a schematic cross-sectional view of a zoomable spot lamp that suitably practices another embodiment of the invention, the lamp being shown as adjusted to produce a wide-angle flood beam.

FIGURE 8A shows a front view of the lamp of FIGURE 7, looking directly into the beam, with the zoom apparatus rotated at a reference position, herein designated as  $0^{\circ}$ , between the first and second sleeves.

FIGURE 8B shows a front view of the lamp of FIGURE 7, looking directly into the beam, with the second sleeve rotated  $120^{\circ}$  compared with its reference orientation of FIGURE 8A.

FIGURE 8C shows a front view of the lamp of FIGURE 7, looking directly into the beam, with the second sleeve rotated  $240^{\circ}$  compared with its reference orientation of FIGURE 8A.

- 5 -

FIGURE 8D shows a front view of the lamp of FIGURE 7, looking directly into the beam, with the second sleeve rotated slightly more than 240° compared with its reference orientation of FIGURE 8A.

FIGURE 9 shows a perspective view of another lamp or light source which suitably practices an embodiment of the invention;

FIGURE 10 shows a cross-sectional view of the lamp or light source of FIGURE 9 taken perpendicular to the substrate along the Line L-L shown in FIGURE 9;

FIGURE 11 shows an schematic representation of the electrical configuration of the lamp or light source of FIGURES 9 and 10;

FIGURE 12 shows a perspective view of a lamp or light source which suitably practices another embodiment of the invention; and

FIGURE 13 shows a perspective view of a lamp or light source which suitably practices yet another embodiment of the invention.

#### DETAILED DESCRIPTION OF THE INVENTION

With reference to FIGURE 1, a lamp that suitably practices an embodiment of the invention is described. A lamp or light source 10 includes a plurality of light emitting diodes (LED's) 12 arranged on a base or substrate 14, the combination of which forms an LED module 16. A plurality of lenses 18 are arranged in conjunction with the LED's 12, such that each LED 12 lies on the optical axis of one of the lenses 18. The lenses 18 effectuate a collimation of the light emitted by the LED's 12, so that the lamp output is a collimated or conical beam having a desired angle of divergence. Preferably, the LED's 12 are positioned closely to the lenses 18 to maximize the light captured. For this reason, the lenses 18 should be fast lenses, i.e., should have a low f number. These preferred lens optical properties are not readily obtainable using conventional lenses. Accordingly, fresnel lenses are advantageously used for the lenses 18 to provide very low f number behavior in a reasonably sized lens.

- 6 -

In the illustrated embodiment of FIGURE 1, there is a one-to-one correspondence between lenses 18 and LED's 12. That is, each LED 12 is associated with a single lens 18. This in turn allows each LED 12 to lie on the optical axis of its corresponding lens 18, which maximizes the optical efficiency of the combination. In other words, the spatial pattern of the lenses 18 corresponds with the spatial pattern of the LED's 12.

The lenses 18 are arranged on a zoom apparatus 20 which together with the lenses form an adaptive optical system 22. The optical system 22 is relatively adjustable with respect the LED module 16 to enable a selectable distance separation along the optical axis between the lenses 18 and the LED's 12.

Because the lamp 10 is intended for lighting applications, the LED's 12 preferably emit light at high intensities. This entails electrically driving the LED's 12 at relatively high currents, e.g., as high as a few hundred milliamperes per LED 12. Because LED light emission is very temperature-sensitive, the heat dissipated in the LED's 12 as a consequence of the high driving currents is advantageously removed by a heat sink 24 which is thermally connected with the substrate 14.

With reference now to FIGURES 2 through 4, a lamp 30 that suitably practices an embodiment of the invention in which the zoom apparatus operates on a mechanical sliding principle is described. LED's 32 are arranged on a substrate 34 forming an LED module 36. A plurality of lenses 38, which are preferably Fresnel lenses, are arranged in correspondence with the LED's 32, with each LED 32 lying on the optical axis of an associated lens 38. A sliding zoom apparatus 40 includes two slidably interconnecting elements or sleeves 42, 44. The LED module 36 is arranged on or in the first sleeve 42 in a fixed manner. The lenses 38 are arranged on or in the second sleeve 44, also in a fixed manner. It will be appreciated that zoom apparatus 40 of the lamp 30 effectuates beam width adjustment through the relative motion of the sleeves 42, 44.



- 7 -

The configuration of the zoom apparatus 40 shown in FIGURE 2 corresponds to a minimum relative separation between the LED's 32 and the lenses 38. This configuration produces a wide beam, i.e., a conical beam with a wide angle of divergence, sometimes called a flood light.

The configuration of the zoom apparatus 40 shown in FIGURE 3 corresponds to a maximum relative separation between the LED's 32 and the lenses 38. This configuration produces a narrow beam, i.e., a conical beam with a small angle of divergence, sometimes called a spot light.

A sliding zoom apparatus can optionally effectuate continuous zoom adjustment (not shown). For continuous zoom adjustment, the sleeves should be of sufficiently close relative tolerances so that the frictional force between the two sleeves 42, 44 inhibits unintended sliding slippage therebetween.

Alternatively, as shown in the illustrated embodiment of FIGURES 2 and 3, the zoom apparatus 40 is an indexed zoom apparatus. A projection or stop 46, which can be a single projection, a plurality of projections, or an annular projection, extends from the first sleeve 42 and is selectably moved into one of five recesses or stop positions 48, which can be annular grooves, holes, or the like. The projection(s) 46 and the recesses 48 are mutually adapted to enable relative movement of the sleeves 42, 44 to selectably move the stop 46 to a selected stop position 48. The projections or stop 46 and the recesses or stop positions 48 cooperate to bias the zoom apparatus into certain pre-selected axial spacings or stop positions. It will be appreciated that such an index system tends to reduce slippage between the two sleeves 42, 44 versus a similar continuous zoom adjustment which relies upon frictional force to prevent slippage. Of course, the index system of FIGURES 2 and 3 is exemplary only, and many variations thereof are contemplated, such as placing the stop onto the first sleeve and the recesses onto the second sleeve, using other than five stop positions, etc.

With reference to FIGURE 4, in addition to the zoom indexing system exemplarily effectuated by projection(s) 46 and recesses 48, the lamp 30

- 8 -

also includes an advantageous interlocking mechanism including a linear projection 50 aligned along the sliding direction of the sliding zoom apparatus 40 and extending inwardly from the second sleeve 44 toward the first sleeve 42, and a corresponding linear depression 52 that receives the linear projection 50. This interlocking mechanism prevents relative rotation between the first and second sleeves 42, 44 so that the LED's 32 are maintained centered on the optical axes of the lenses 38.

With reference to FIGURES 2 and 3, the lamp 30 also includes one or more electrical conduits 54 through which wires or other electrical conductors (not shown) connect the LED's to an associated power supply (not shown). Although an exemplary single conduit 54 is shown, numerous variations are contemplated, such as separate conduits for each LED 32.

In addition, electrical components such as a printed circuit board that electrically connects the LED's 32 and has optional driving electronics operatively arranged thereupon, metallized connections, an associated battery or other electrical power supply, etc. are also contemplated (components not shown). It will be recognized that such electrical components are well known to those skilled in the art.

With reference to FIGURE 5, a mounting configuration 60 for the lamp 30 of FIGURES 2 through 4 is described. In the mounting configuration 60, the inner sleeve 42 remains fixed relative to a mounting element 62, while the sliding movement of the outer sleeve 44 effectuates the zoom adjustment. The mounting element 62 could, for example, be the approximately cylindrical body of a hand flashlight that contains associated batteries to power the lamp 30, in which case movement of the outer sleeve 44 is effectuated manually by the user. Alternatively, for a theater stage spotlight mounting configuration, the movement of sleeve 44 could be mechanized. It will be appreciated that the mounting configuration 60 is rather simple to construct because the adjustable outer sleeve 44 is accessible.

With reference to FIGURE 6, another mounting configuration 70 for the lamp 30 of FIGURES 2 through 4 is described. In the mounting

- 9 -

configuration 70, the outer sleeve 44 remains fixed relative to a mounting element 72, while movement of the inner sleeve 42 effectuates the zoom adjustment. In this case, the inner sleeve 42 is relatively inaccessible from outside the mounting configuration 70, and so in the embodiment of FIGURE 6 one or more posts 74 are rigidly affixed to the inner sleeve 42 and pass through passthroughs 76 in the mounting element 72 to provide handles or shafts by which the inner sleeve 42 is slidably adjusted to effectuate the zoom. The mounting configuration 70 is therefore more complex versus the mounting configuration 60 of FIGURE 5. However, the mounting configuration 70 has the advantage of fully containing the lamp 30 within the mounting element 72 so that a lighting device that employs the configuration 70 has definite and fixed outside dimensions. The one or more posts 74 are also easily adapted to connect with a motor (not shown) to effectuate a mechanized zoom adjustment.

With reference to FIGURE 7, a lamp 80 that suitably practices another embodiment of the invention in which the zoom apparatus operates on a mechanical rotation principle is described. LED's 82 are arranged on a substrate 84 forming an LED module 86. A plurality of lenses 88, which are preferably Fresnel lenses, are arranged in the same pattern as the LED's 82. The rotating zoom apparatus 90 includes two threadedly interconnecting elements or sleeves 92, 94. The LED module 86 is arranged on or in the first sleeve 92 in a fixed manner. The lenses 88 are arranged on or in the second sleeve 94, also in a fixed manner. Thus, by relatively screwing the first and second sleeves 92, 94 into or out of each other using the cooperating threads 96, 98 disposed on the outside of the first sleeve 92 and the inside of the second sleeve 94, respectively, the relative axial separation of the LED's 82 and the lenses 88 is adjusted. The first sleeve 92 preferably includes one or more electrical conduits 104 which are analogous to the conduit or conduits 54 of the embodiment of FIGURE 2.

Although the LED's 82 and the lenses 88 are arranged in the same spatial pattern, it will be recognized that the rotating motion in general results in a misalignment of the LED's 82 off the optical axes of the lenses 88. However,

- 10 -

for certain relative rotational orientations of the sleeves **92, 94**, the two patterns align, as shown in **FIGURE 8A**. The relative rotational orientation shown in **FIGURE 8A** is herein designated as  $0^\circ$  and serves as a reference orientation. Furthermore, a specific LED **82<sub>0</sub>**, and a specific lens **88<sub>0</sub>**, are shown in bold in **FIGURE 8A** and will be tracked during zoom adjustment using **FIGURES 8B** and **8C** in the discussion which follows.

With reference to **FIGURE 8B**, the reference orientation has been changed by rotating the second sleeve **94** counter-clockwise by  $120^\circ$ . Two changes result from the  $120^\circ$  rotation. First, the axial separation of the LED's **82** and the lenses **88** changes by an amount related to the spacing of the threads **96, 98** due to the screwing action. Second, the lens **88<sub>0</sub>** is no longer axially aligned with the LED **82<sub>0</sub>**, but rather now axially aligns with another LED as seen in **FIGURE 8B**.

With reference to **FIGURE 8C**, the second sleeve **94** has been rotated counter-clockwise by another  $120^\circ$  ( $240^\circ$  total rotation versus **FIGURE 8A**). The axial separation of the LED's **82** and the lenses **88** is again changed by an amount related to the spacing of the threads **96, 98**, and the lens **88<sub>0</sub>** axially aligns with yet another LED as seen in **FIGURE 8C**. Although not illustrated as a separate figure, it will be recognized that a third counter-clockwise rotation of  $120^\circ$  would bring the total rotation versus **FIGURE 8A** up to  $360^\circ$ , i.e. one complete rotation, and would re-produce the pattern alignment shown in **FIGURE 8A**, but with a change in axial spacing between the LED's **82** and the lenses **88** corresponding to the spacing of the threads **96, 98**.

In one aspect of the embodiment, the threads **96, 98** have thread joints, indented stops or another mechanism (not shown) to bias the zoom apparatus **90** into indexed positions such as those shown in **FIGURES 8A, 8B**, and **8C** wherein the lens **88** pattern aligns with the LED **82** pattern. It will be recognized that if the lens **88** pattern and the LED **82** pattern each have an  $n$ -fold rotational symmetry, then separation of the rotational stop positions by integer multiples of  $360^\circ/n$  enables stop positions for which each LED **82** is axially aligned with one of the plurality of lenses **88**. In the exemplary

- 11 -

embodiment shown in FIGURES 8A, 8B and 8C, the patterns have six-fold rotational symmetry ( $n=6$ ), and the stop positions are separated by  $2 \times (360^\circ/n) = 120^\circ$  rotations.

In another aspect of the embodiment, the rotation of the zoom apparatus 90 can also be continuous with no index biasing. In this case the frictional interaction between the threads 96, 98 should be sufficient to counteract slippage of the zoom apparatus 90.

FIGURE 8D shows a relative rotational orientation of the LED 82 pattern and the lenses 88 pattern wherein the LED's 82 are not axially aligned with the lenses 88, but rather are relatively positioned slightly off-axis. It will be recognized that a relative pattern orientation such as that shown in FIGURE 8D can be obtained either with or without index biasing. Such a slightly off-axis relative orientation produces defocusing which can provide further freedom for adjusting the light beam properties. In FIGURE 8D, the second sleeve 94 has been rotated to an angle A relative to the reference rotational orientation of FIGURE 8A, where the angle A is slightly greater than the  $240^\circ$  orientation that would produce pattern alignment.

In the embodiments of FIGURES 1-8D the LEDs are shown as substantially similar, and the beam spot size or other beam characteristics is changed by relative mechanical movement of a lensing system and an LED assembly. However, the LEDs can be different. Moreover, in other embodiments, described next, beam spot size or other beam characteristics are changed by selectively energizing selected LEDs or sets of LEDs in which the LEDs or sets of LEDs are different and/or have different coupled optics.

With reference to FIGURE 9, a lamp or light source 110 includes a substrate 112 which in the embodiment of FIGURE 9 is circular in shape. Arranged on the substrate 112 are a plurality of optical sources or lighting units 114A, 114B, 114C, 114D. Each of the lighting units or optical sources 114A, 114B, 114C, 114D include one or more light emitting diode (LED) components. The optical source 114A comprises eight LED components 116A. The optical source 114B comprises eight LED components 116B. The optical source 114C

- 12 -

comprises eight LED components **116C**. The optical source **114D** comprises only a single LED component **116D**. In the embodiment of FIGURE 9, the lighting unit **114D** that includes only a single LED component **116D** is located at the center of the substrate **112**. The lighting units **114C**, **114B**, and **114A** are arranged in concentric circular patterns of increasing diameter, respectively, about the lighting unit **114D**.

With continuing reference to FIGURE 9 and with further reference now to FIGURE 10, an embodiment of the LED components **116A**, **116B**, **116C**, **116D** is described. FIGURE 10 shows a cross-sectional view of the lamp or light source **110** taken perpendicular to the substrate **112** along the Line L-L shown in FIGURE 9. A plurality of wells **120** are formed in the substrate **112** for receiving LED elements **122A**, **122B**, **122C**, **122D** that correspond to the LED components **116A**, **116B**, **116C**, **116D**, respectively. The substrate **110** is manufactured using a thermal-heat sinking material such as a copper plate. Mounting of the LED elements and electrical contacting thereof are steps that are well known to the art and need not be described herein for an enabling disclosure.

It will be appreciated that the LED elements **122A**, **122B**, **122C**, **122D** need not be identical to one another, but can instead include LED elements emitting light at different colors or with different spectral distributions, different optical intensities, and the like. The LED elements **122A**, **122B**, **122C**, **122D** can be manufactured from different materials, e.g. LED element **122A** can be a group III-nitride LED element emitting blue light, whereas LED element **122B** can be a group III-phosphide LED element emitting red light. Furthermore, in the case of a plurality of LED elements comprising a lighting unit or optical source, e.g. the optical source **114A**, every LED element of the plurality need not be identical. For simplicity, however, FIGURE 2 shows all the LED elements **122A**, **122B**, **122C**, **122D** as being essentially identical.

The LED components **116A**, **116B**, **116C**, **116D** also include optical elements such as lenses **124A**, **124B**, **124C**, **124D**. In order to effectuate different angular distributions or spatial patterns for the light emitted

- 13 -

by the lighting units **114A, 114B, 114C, 114D**, the corresponding optical elements or lenses **124A, 124B, 124C, 124D** each have different optical prescriptions. The lenses **124A, 124B, 124C, 124D** can be discrete elements that are mounted above the wells **120**. Alternatively, the optical elements **124A, 124B, 124C, 124D** can be formed by controlled shaping or molding of an epoxy or resin encapsulant that is used to hermetically seal the LED elements **122A, 122B, 122C, 122D**. In the illustrated embodiment of FIGURES 9 and 10, the different optical prescriptions are effectuated by different radii of the conic of the lenses or epoxy "bumps" **124A, 124B, 124C, 124D**. Of course, other approaches for effectuating a pre-selected optical prescription can also be employed, such as by using different materials having different refractive indexes for each type of optical element **124A, 124B, 124C, 124D**. It will also be appreciated that the optical elements **124A, 124B, 124C, 124D** can, in addition to effectuating pre-selected optical prescriptions, also alter the light emitted by the optical sources **114A, 114B, 114C, 114D** in other ways. For example, the optical elements or lenses **124A, 124B, 124C, 124D** can be selectively tinted to alter the color or spectral distribution of the light passing therethrough in a pre-selected manner.

The embodiment illustrated in FIGURE 10 is exemplary only. Other configurations for the LED components **116A, 116B, 116C, 116D** and for the substrate **112** are also contemplated. For example, the substrate **112** can be a printed circuit board (PC board) with the LED elements **122A, 122B, 122C, 122D** bonded directly thereto. The wells **120** would typically be omitted in this alternate embodiment.

With reference now to FIGURE 11, the electrical configuration of the embodiment of FIGURES 9 and 10 is described. An associated voltage source **V** provides electrical power for the light source or lamp **110** that in the embodiment of FIGURES 9 and 11 includes four optical sources or lighting units **114A, 114B, 114C, 114D**. The lamp **110** further includes a control unit **130** that has four switches **132A, 132B, 132C, 132D** for selectively applying electrical power to the corresponding lighting units **114A, 114B, 114C, 114D**. The

- 14 -

switches **132A, 132B, 132C, 132D** can be manual switches, electronically controlled switches, or other switch types. The control unit **130** optionally includes additional elements (not shown) such as a computer interface or components for conditioning the power applied to the lighting units. In the illustrated electrical configuration of **FIGURE 11**, the four lighting units **114A, 114B, 114C, 114D** are independently selectable, and any combination of the lighting units **114A, 114B, 114C, 114D** can be selectively powered at any given time. Each of the lighting units **114A, 114B, 114C, 114D** has a different optical prescription, obtained in the embodiment of **FIGURES 9 and 10** by using different conic radii for the lenses **124A, 124B, 124C, 124D**. Thus, by operating only a selected one of the four lighting units **114A, 114B, 114C, 114D** four different angular distributions or spatial patterns of emitted light can be selectively obtained. By operating a selected sub-set of the plurality of optical sources **114A, 114B, 114C, 114D**, complex combinations of the spatial light distributions of the individual optical sources **114A, 114B, 114C, 114D** can be obtained. In a limiting operational case, all four optical sources **114A, 114B, 114C, 114D** can be operated simultaneously using the electrical configuration shown in **FIGURE 11**.

The electrical configuration of **FIGURE 11** is exemplary only, and a number of variations thereof are contemplated. As noted previously, the LED elements **122A, 122B, 122C, 122D** can be of different types, e.g. GaN LED elements, InGaAlP LED elements, and so forth. In cases where the LED elements comprising the lighting units differ, the control unit **130** optionally includes voltage dividers (not shown) or other power conditioning components that control the power applied to each optical source **114A, 114B, 114C, 114D**. Furthermore, as noted previously a given optical source, e.g. optical source **114A**, can include LED elements of different types. In this case, the optical source **114A** would itself include one or more electrical components (not shown) such as voltage dividers that condition the voltage applied to each LED element within the optical source **114A**. In yet another variant, the control unit **130** includes a rheostat, variable voltage divider, or other electrical component (not



- 15 -

shown) that enables variable power application to the lamp **110** as a whole or to one or more of the individual lighting units **114A**, **114B**, **114C**, **114D** that are included in the lamp **110**. Such an arrangement advantageously enables the user to control the optical intensity as well as the spatial distribution of the light output.

With reference now to FIGURE 12, a second embodiment **140** of the invention is described. A circular substrate **142** has four lighting units arranged thereon. Each lighting unit includes a plurality of essentially identical LED components. The LED components of each lighting unit differ from the LED components of the other three lighting units. Hence, there are four LED component types **146A**, **146B**, **146C**, **146D** arranged on the substrate **142**, corresponding to the four lighting units. Unlike the embodiment of FIGURE 9, the embodiment of FIGURE 12 has an equal number of LED components of each type, and the distribution of the LED component types **146A**, **146B**, **146C**, **146D** across the substrate **142** is essentially uniform. Although the spatial distribution of the LED component types is uniform, the lamp **140** nonetheless is capable of producing light having at least four selectable spatial or angular distributions because each of the four LED component types **146A**, **146B**, **146C**, **146D** has a different optical prescription, as indicated by the different conic radii of the four LED component types **146A**, **146B**, **146C**, **146D**. Thus, the corresponding four lighting units each produce light having a different spatial or angular light distribution.

With reference now to FIGURE 13, a third embodiment **150** of the invention is described. A rectangular substrate **152** has two lighting units corresponding to LED components of types **156A** and **156B**, respectively. The LED component types **156A**, **156B** have optical elements with essentially similar conic radii. However, different optical prescriptions are obtained by using materials having different refractive indexes for the optical elements of each component type **156A**, **156B**. Hence, the embodiment of FIGURE 13 has a first angular or spatial light distribution obtained when the first optical source comprising the LED components of type **156A** are activated; and a second

- 16 -

angular or spatial light distribution obtained when the second optical source comprising the LED components of type **156B** are activated. Optionally, a third angular or spatial light distribution can be obtained by activating both the first and the second optical sources together, the third angular or spatial distribution operatively combining the first and the second light output distributions.

Those skilled in the art will appreciate that embodiments of the type **110**, **140**, **150** in which beam spot size or other beam characteristics are changed by selectively energizing selected LEDs or sets of LEDs are readily combined with the embodiments of the type **10**, **30**, **80** in which the beam characteristics are varied by relative mechanical movement of a lensing system and an LED assembly.

For example, the light source **110** of FIGURE 9 has an eight-fold rotational symmetry that is particularly suitable for use in a rotationally adjustable spot module lamp similar to the lamp **80** of FIGURE 7. The light source **110** suitably replaces the LED module **86** of the lamp **80**. The lenses **124** in one contemplated embodiment are located on the second sleeve **94**, that is the lenses **124** replace the lenses **88** of the spot module **80**. In another contemplated embodiment the lenses **124** are affixed to the light source **110** as shown in FIGURE 9, and the lenses **88** are separate lenses that cooperate with the lenses **124** to provide the selected optical focusing. The light sources **140**, **150** are less suitable for a rotationally adjustable spot module lamp, since these sources **140**, **150** would require a 360° rotation. However, any of the light sources **110**, **140**, **150** are suitably used in conjunction with a slidably adjustable spot module lamp similar to the lamp **30** of FIGURES 2-4.

The invention has been described with reference to the preferred embodiments. Obviously, modifications and alterations will occur to others upon reading and understanding the preceding detailed description. It is intended that the invention be construed as including all such modifications and alterations insofar as they come within the scope of the appended claims or the equivalents thereof.

- 17 -

WHAT IS CLAIMED IS:

1. A spot module having a selectable light output, the spot module including:

a substrate (14, 34, 84, 112, 142, 152);

a plurality of optical sources (114A, 114B, 114C, 114D) arranged on the substrate (14, 34, 84, 112, 142, 152), each optical source (114A, 114B, 114C, 114D) including:

at least one light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B), and

at least one optical element (18, 38, 88) in operative communication with the at least one light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B) and having a pre-defined optical prescription; and

a zoom apparatus (20, 40, 90) supporting the optical elements (18, 38, 88) of the optical sources (114A, 114B, 114C, 114D), the zoom apparatus (20, 40, 90) adjusting an axial separation between the at least one light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B) of each optical source (114A, 114B, 114C, 114D) and its corresponding at least one optical element (18, 38, 88).

2. The spot module as set forth in claim 1, wherein each of the plurality of optical sources (114A, 114B, 114C, 114D) produces light having selected output characteristics, the selected output characteristics differing for each of the optical light sources (114A, 114B, 114C, 114D), the spot module further including:

a control unit (130) that selectively operates a selected one or more of the optical sources (114A, 114B, 114C, 114D) to produce light having selected light output characteristics.

- 18 -

3. The spot module as set forth in claim 2, wherein the selective operation of the plurality of optical sources (114A, 114B, 114C, 114D) includes at least one of:

selectively operating one of the plurality of optical sources (114A, 114B, 114C, 114D);

selectively operating a sub-set of the plurality of optical sources (114A, 114B, 114C, 114D); and

selectively operating all of the plurality of optical sources (114A, 114B, 114C, 114D).

4. The spot module as set forth in claim 1, wherein the zoom apparatus (40) includes:

two slidably interconnected sleeves (42, 44), the first sleeve (42) being connected with the at least one light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B) and the second sleeve (44) being connected with the at least one optical element (38).

5. The spot module as set forth in claim 1, wherein the zoom apparatus (90) includes:

two threadedly interconnected sleeves (92, 94), the first sleeve (92) being connected with the at least one light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B) and the second sleeve (94) being connected with the at least one optical element (88).

6. The spot module as set forth in claim 1, wherein the at least one optical element (18, 38, 88) includes a lens (18, 38, 88) corresponding to each light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B), the lens receiving and focusing light from the light emitting diode (116A, 116B, 116C, 116D, 146A, 146B, 146C, 146D, 156A, 156B).

7. The spot module as set forth in claim 6, wherein each light emitting diode further includes:

- 19 -

an epoxy or resin encapsulant (124A, 124B, 124C, 124D) that hermetically seals the light emitting diode, the epoxy or resin encapsulant (124A, 124B, 124C, 124D) providing light refraction that cooperates with the lens (18, 38, 88) to focus the light.

8. A lamp including:

an LED module (16, 36, 86) including at least one LED (12, 32, 82) arranged on a substrate (14, 34, 84);

an optical system comprising at least one lens (18, 38, 88) in optical communication with the LED module (16, 36, 86); and

a zoom apparatus (20, 40, 90) that selectively adjusts the relative axial separation of the optical system and the LED module (16, 36, 86).

9. The lamp as set forth in claim 8, wherein the LED module (16, 36, 86) includes:

a plurality of LED's (12, 32, 82) arranged in a first pattern on the substrate (14, 34, 84).

10. The lamp as set forth in claim 9, wherein the at least one lens (18, 38, 88) includes:

a plurality of Fresnel lens arranged in a second pattern that corresponds with the first pattern.

11. The lamp as set forth in claim 9, wherein the optical system includes:

a plurality of lenses (18, 38, 88) wherein each lens is axially aligned with an LED (12, 32, 82) and optically communicates with said LED (12, 32, 82).

12. The lamp as set forth in claim 9, wherein the plurality of LEDs (12, 32, 82) of the LED module (16, 36, 86) include:

a first LED lighting unit (114A) including a plurality of first LEDs (116A, 146A, 156A) that produce light having first characteristics; and

- 20 -

a second LED lighting unit (114B) including a plurality of second LEDs (116B, 146B, 156B) that produce light having second characteristics which are different from the first characteristics;

wherein the first and second LED lighting units (114A, 114B) are selectively operated to produce light output with a selected one of the first and second characteristics.

13. The lamp as set forth in claim 8, wherein the zoom apparatus (90) includes:

a first sleeve (92) having the LED module (86) arranged thereon, the first sleeve (90) further having a first threading (96) arranged thereon; and

a second sleeve (94) having a second threading (98) arranged thereon that is adapted to cooperate with the first threading (96) such that the first sleeve (92) and the second sleeve (94) are relatively movable in a screwing fashion, the second sleeve (94) further having the optical system arranged thereon.

14. The lamp as set forth in claim 13, further including:

an index system that relatively biases the first sleeve (92) and the second sleeve (94) into one or more selectable relative axial positions.

15. The lamp as set forth in claim 8, wherein the zoom apparatus (40) includes:

a first element (42) having the LED module (36) disposed thereon; and

a second element (44) adapted to slidably connect with the first element (42), the second element (44) further having the optical system disposed thereon.

16. The lamp as set forth in claim 15 wherein the zoom apparatus (40) further includes:

a mechanical interlock (50, 52) between the first and the second elements (42, 44) that prevents relative rotation therebetween.

- 21 -

17. The lamp as set forth in claim 16, wherein the mechanical interlock (50, 52) includes:

a protrusion (50) on one of the first and the second elements (42, 44), the protrusion (50) being aligned parallel to the optical axis; and

a groove (52) on one of the first and the second elements (42, 44) that receives the protrusion (50) to prevent relative rotation of the first and the second elements (42, 44).

18. The lamp as set forth in claim 15, further including:

a stop (46) that relatively biases the first and the second elements (42, 44) into one or more selectable relative axial stop positions (48).

19. The lamp as set forth in claim 8, wherein the LED module (16) further including:

a heat sink (24) thermally connected with the substrate (14) for cooling the LED module (16).

20. A lamp including:

a substrate (112, 142, 152);

a first lighting unit (114A) comprising:

a first light emitting diode (LED) (116A, 146A, 156A) arranged on the substrate (112, 142, 152), and

a first lens element (124A) having a first optical prescription and being arranged to interact with light produced by the first LED (116A, 146A, 156A); and

a second lighting unit (114B) comprising:

a second light emitting diode (LED) (116B, 146B, 156B) arranged on the substrate (112, 142, 152), and

a second lens element (124B) having a second optical prescription and being arranged to interact with light produced by the second LED (116B, 146B, 156B).

- 22 -

**21.** The lamp as set forth in claim 20, further including:  
a control unit (130) which applies operating power to at least one of the first lighting unit (114A) and the second lighting unit (114B).

**22.** The lamp as set forth in claim 20, further including:  
a control unit (130) having at least two selectable operational modes including:

a first selectable operational mode in which power is applied to the first lighting unit (114A), and

a second selectable operational mode in which power is applied to the second lighting unit (114B).

**23.** The lamp as set forth in claim 22, wherein the control unit (130) further has:

a third selectable operational mode in which power is applied to the first lighting unit (114A) and to the second lighting unit (114B).

**24.** The lamp as set forth in claim 20, wherein:

light emission from the first LED (116A, 146A, 156A) has a first spectral distribution; and

light emission from the second LED (116B, 146B, 156B) has a second spectral distribution that is different from the first spectral distribution.

**25.** The lamp as set forth in claim 20, wherein:

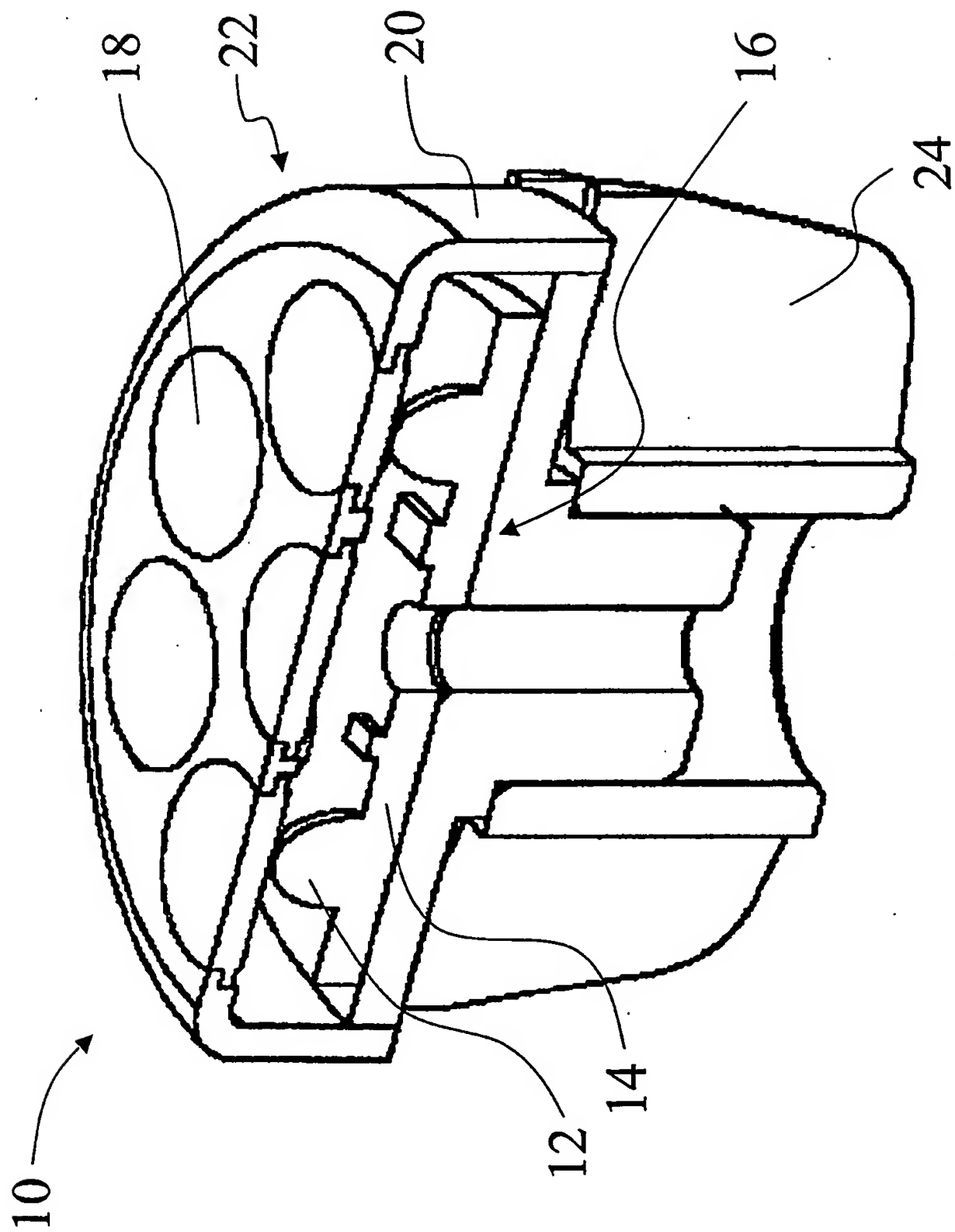
at least one of the first lens element (124A) and the second lens element (124B) includes a tinted region whereby the spectral distribution of the light emission of the at least one lighting unit (114A, 114B) that includes the tinted region is altered in a pre-selected manner by the tinted region.

**26.** The lamp as set forth in claim 20, further including:



- 23 -

a mechanically movable zoom apparatus (20, 40, 90) that includes an optical system (18, 38, 88) that interacts with the first and second lighting units (114A, 114B) to produce a variable light beam spot size.



**FIG 1**

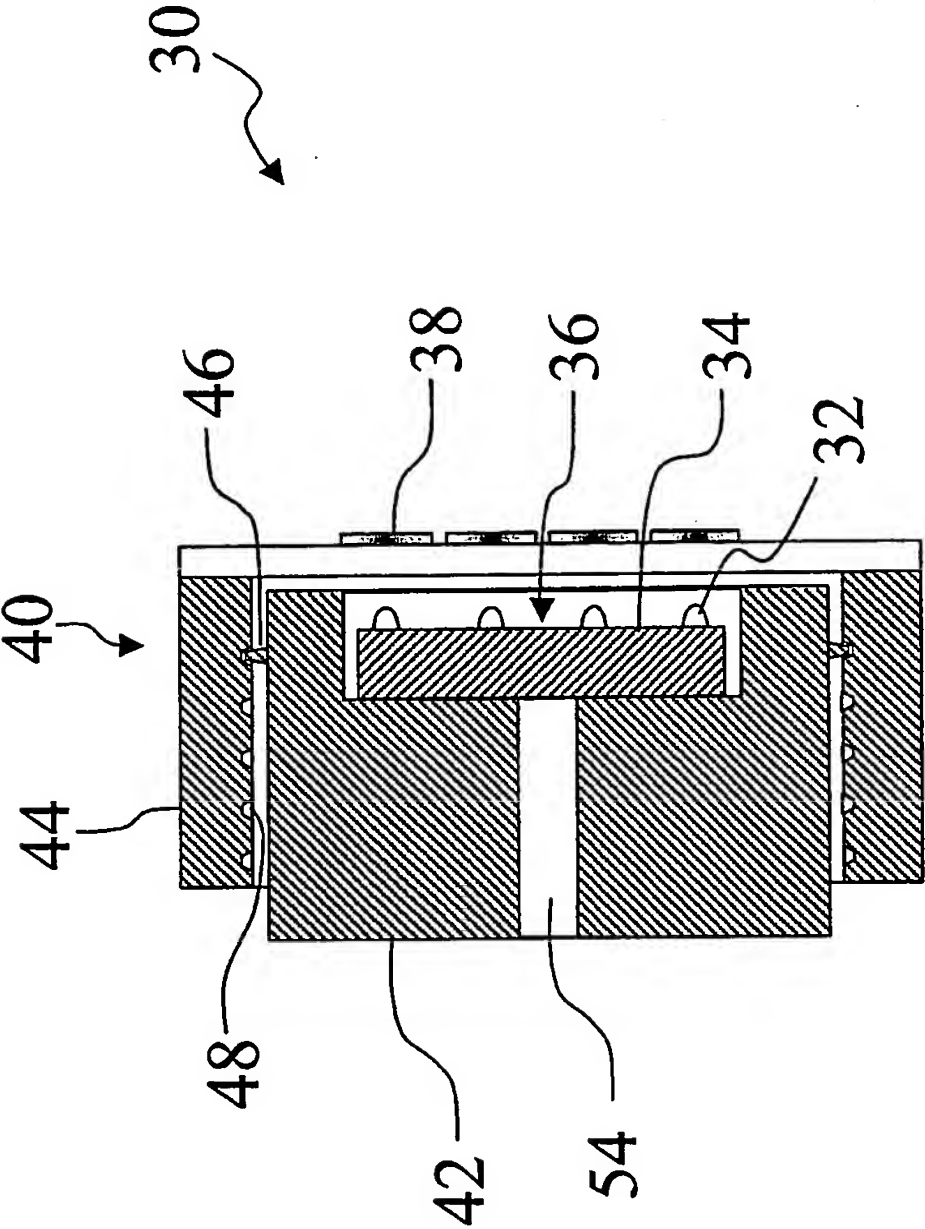


FIG 2

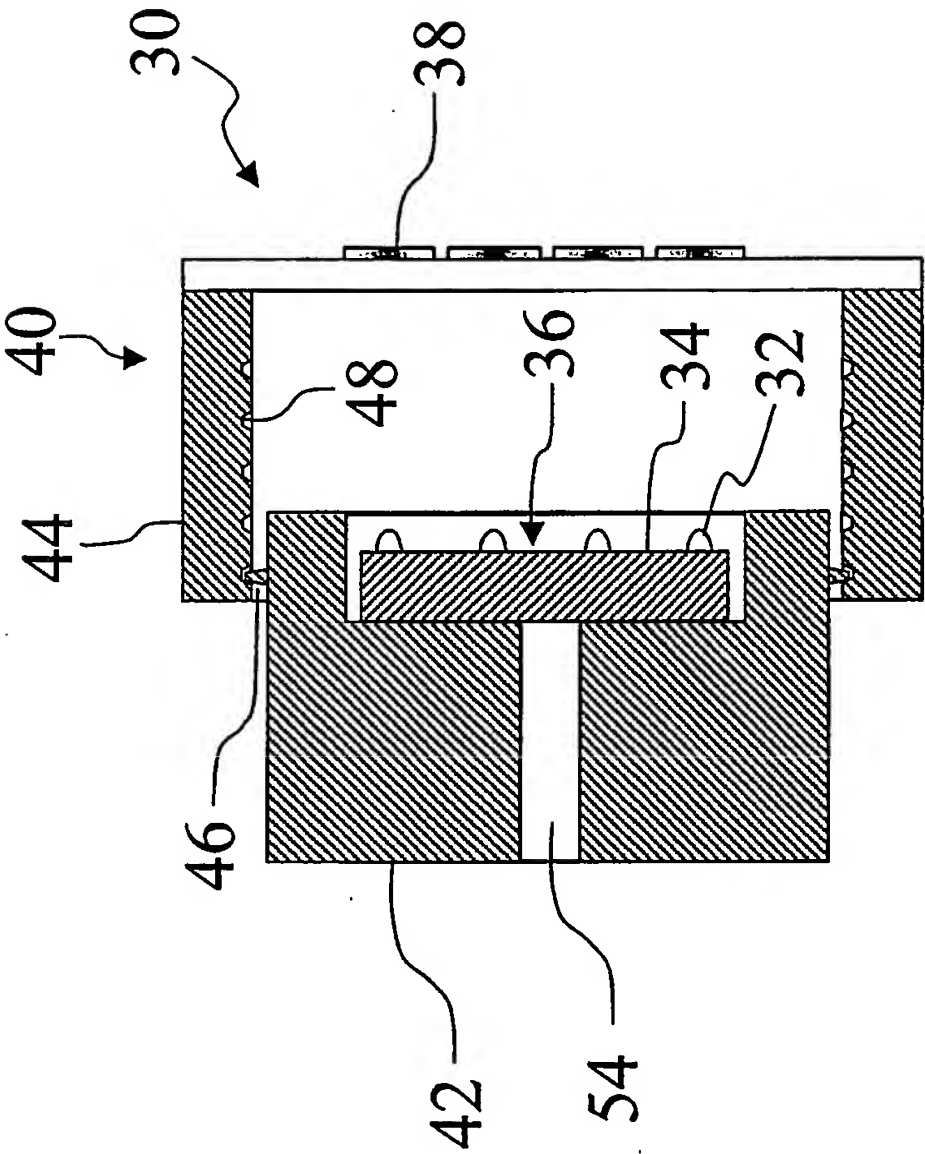


FIG 3

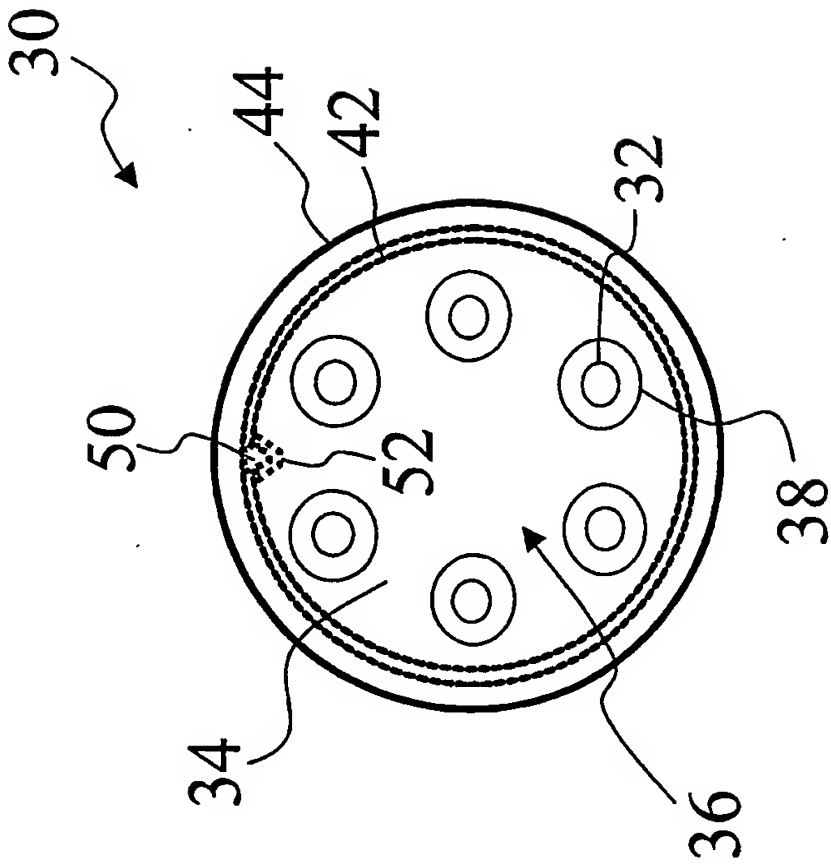


FIG 4

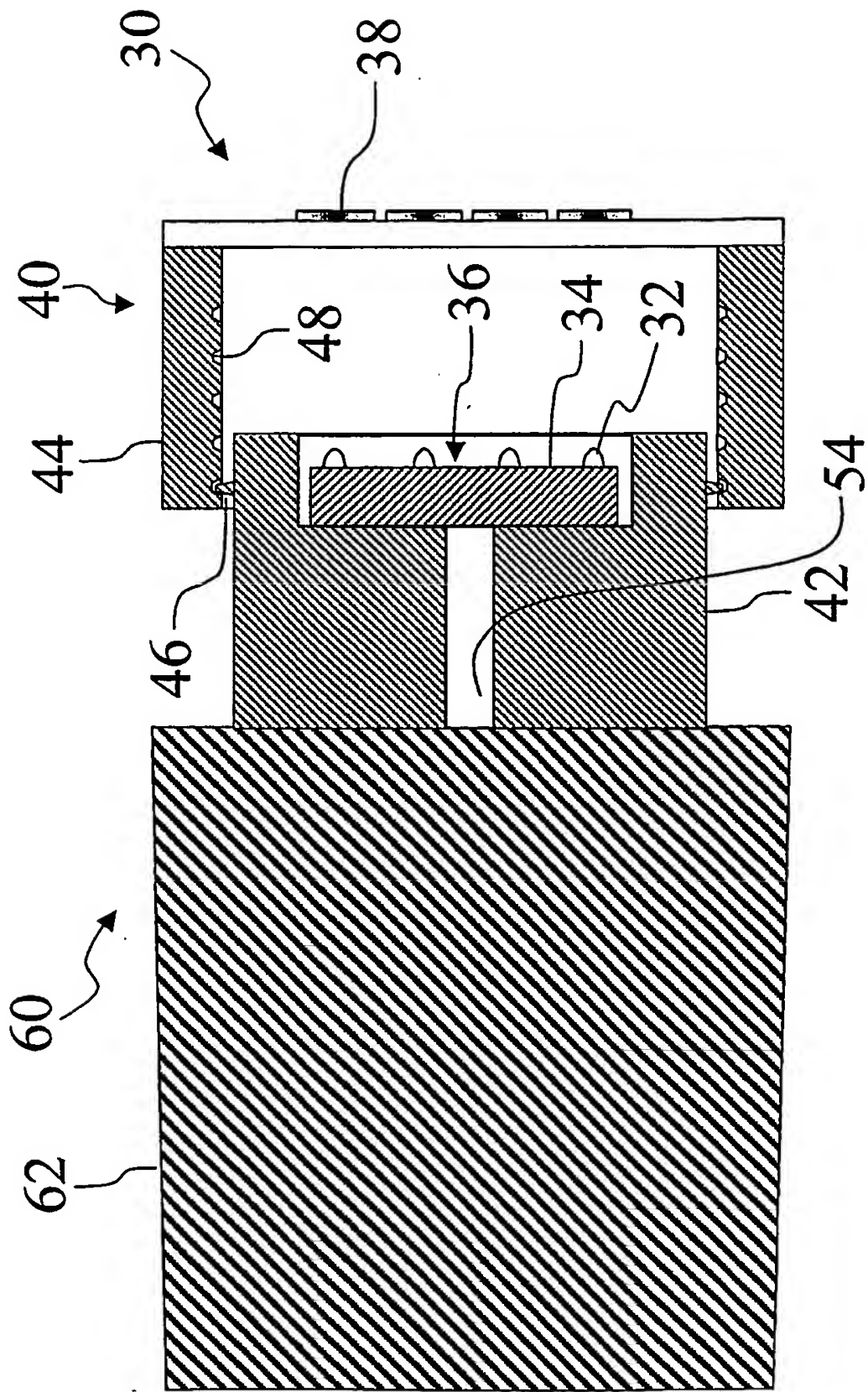


FIG 5

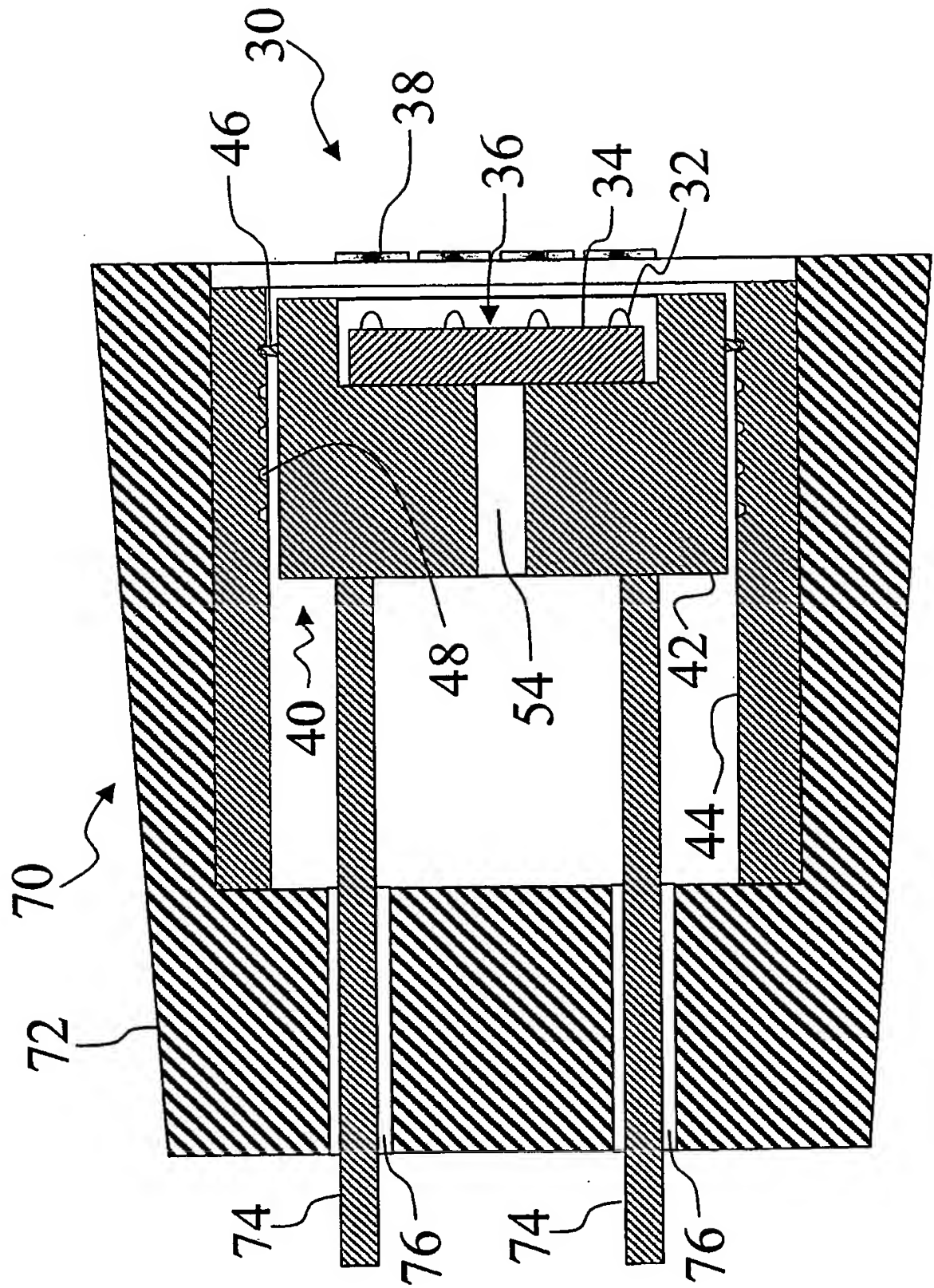
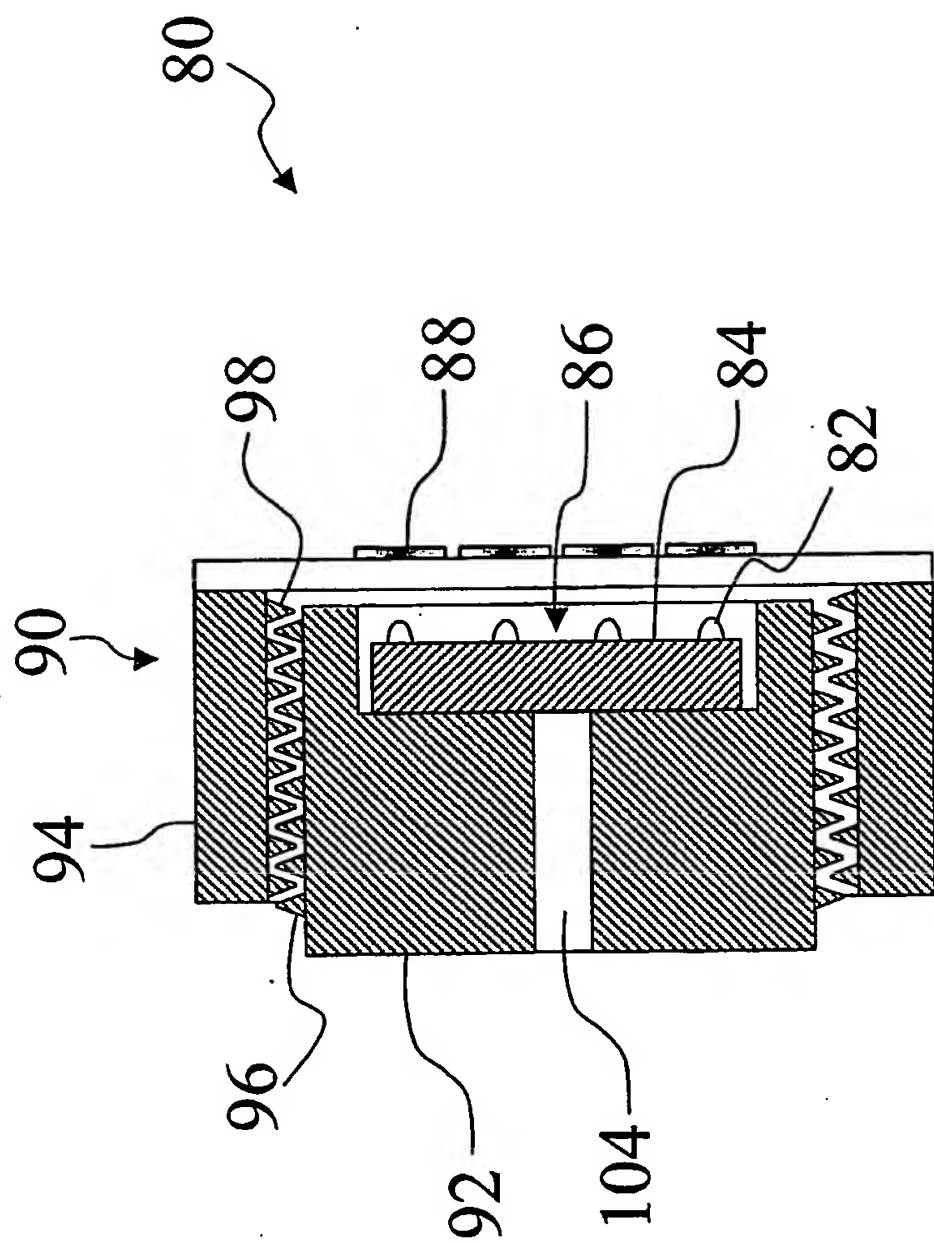


FIG 6



# FIG 7



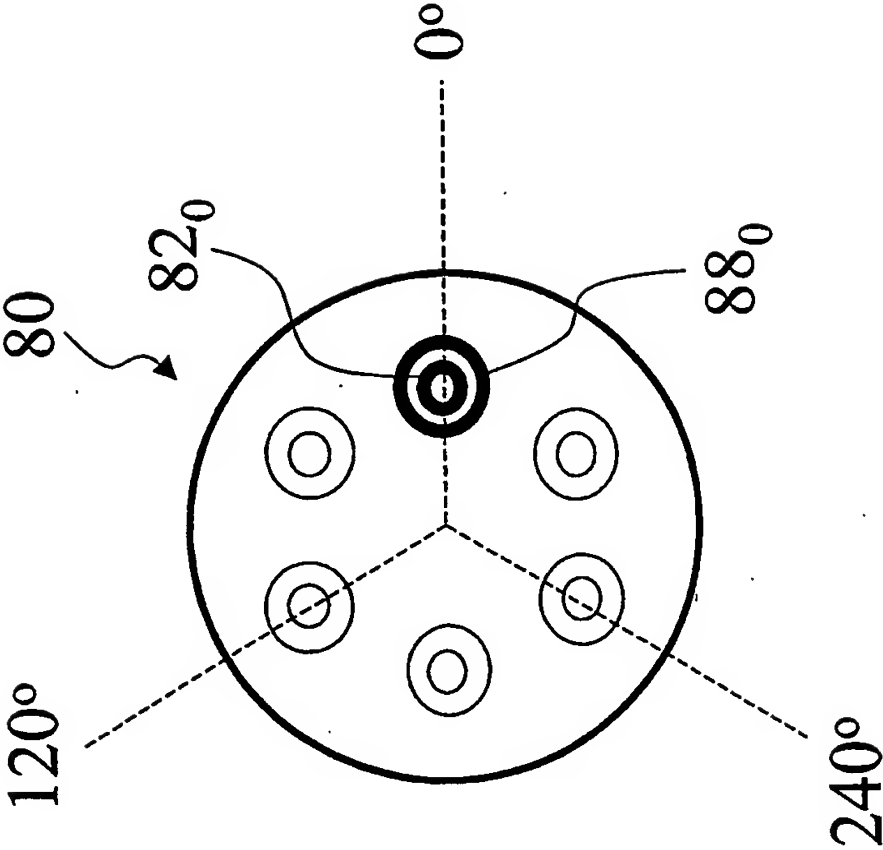


FIG 8A

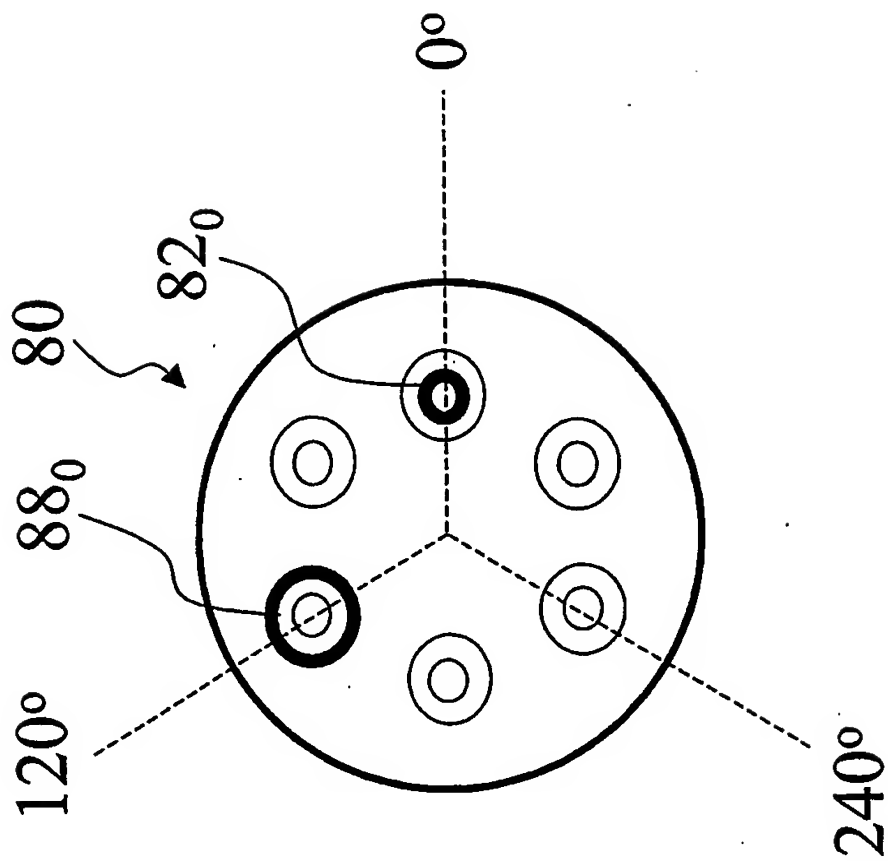


FIG 8B

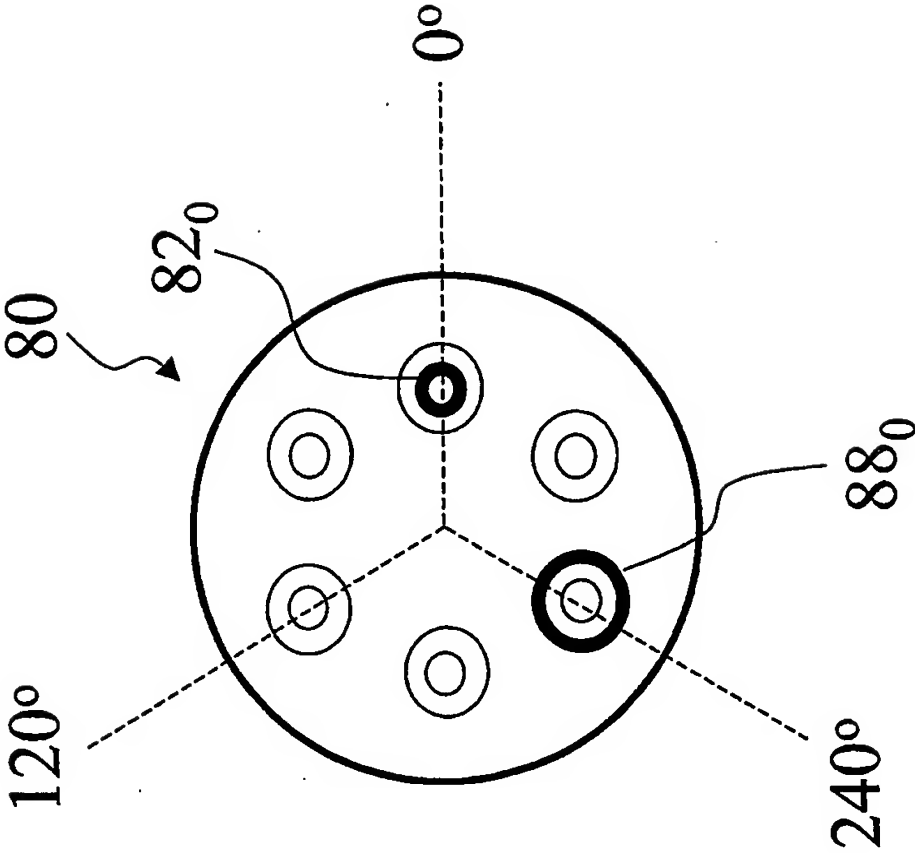


FIG 8C

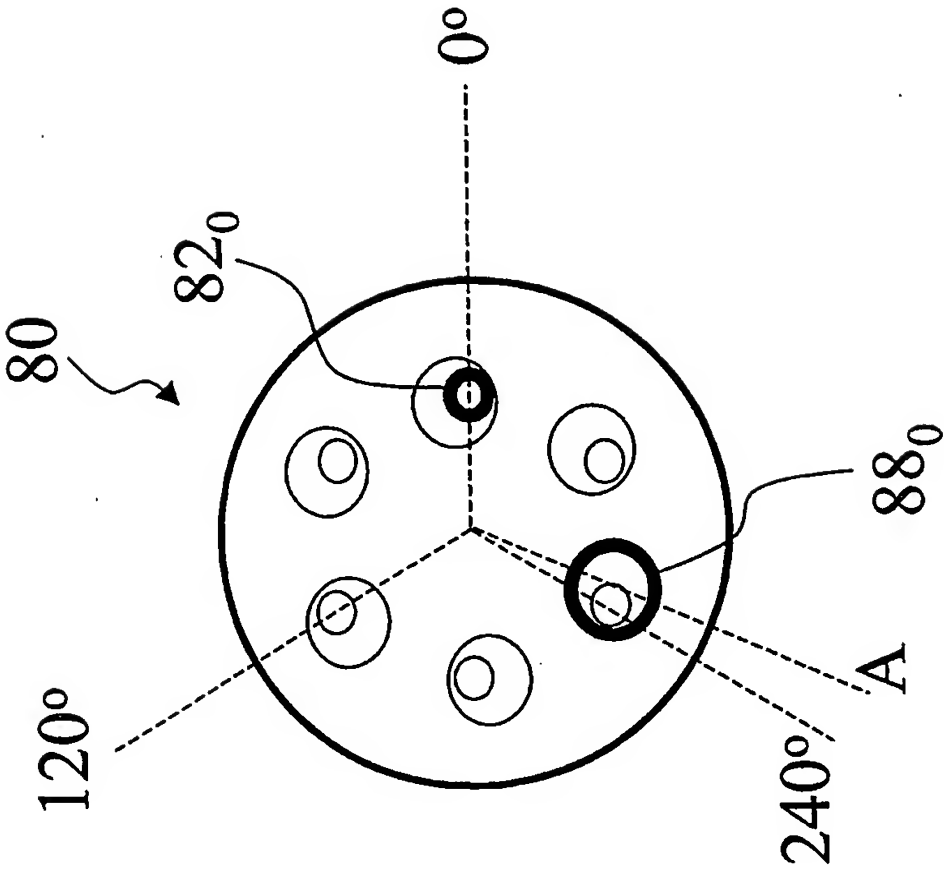


FIG 8D

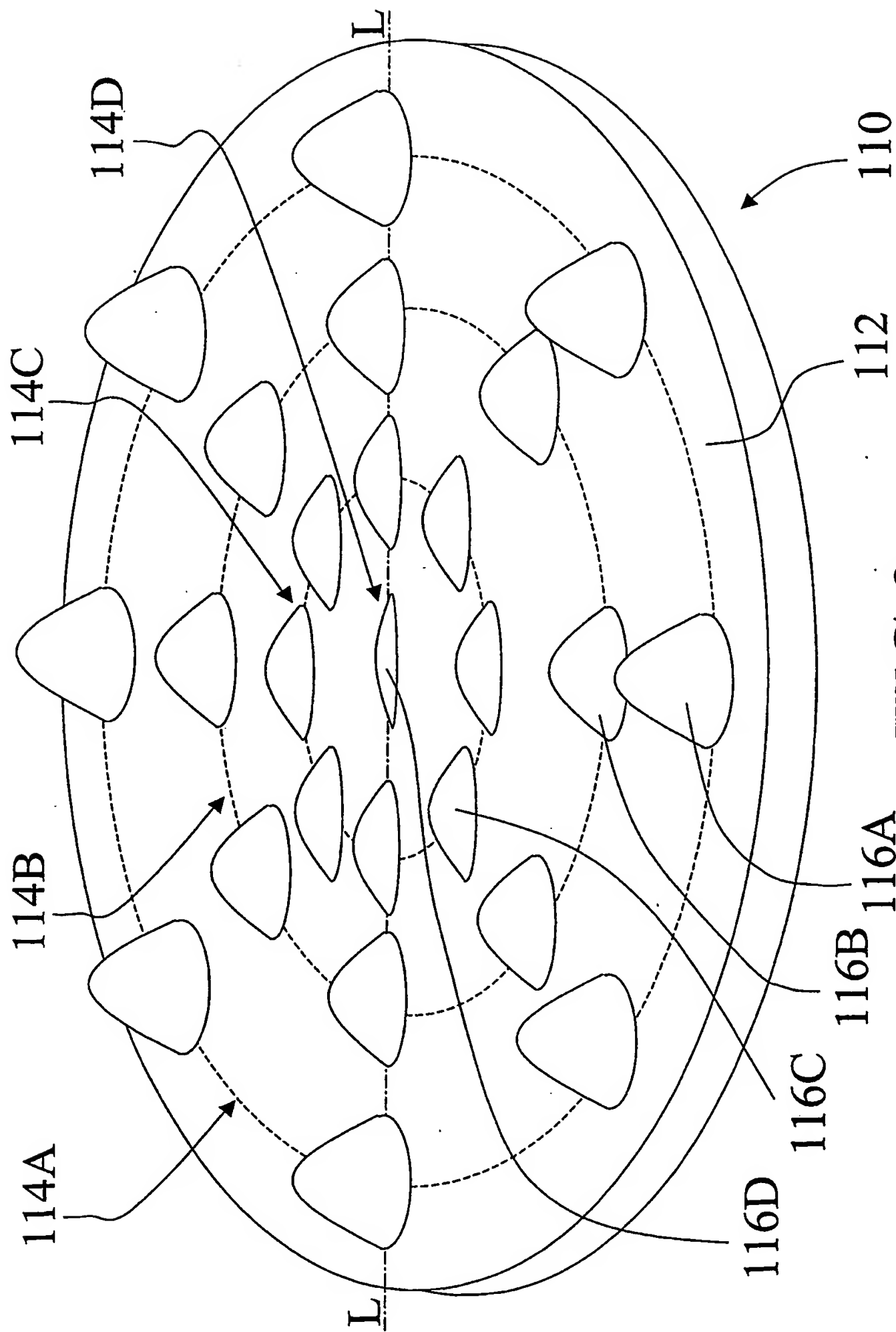


FIG 9

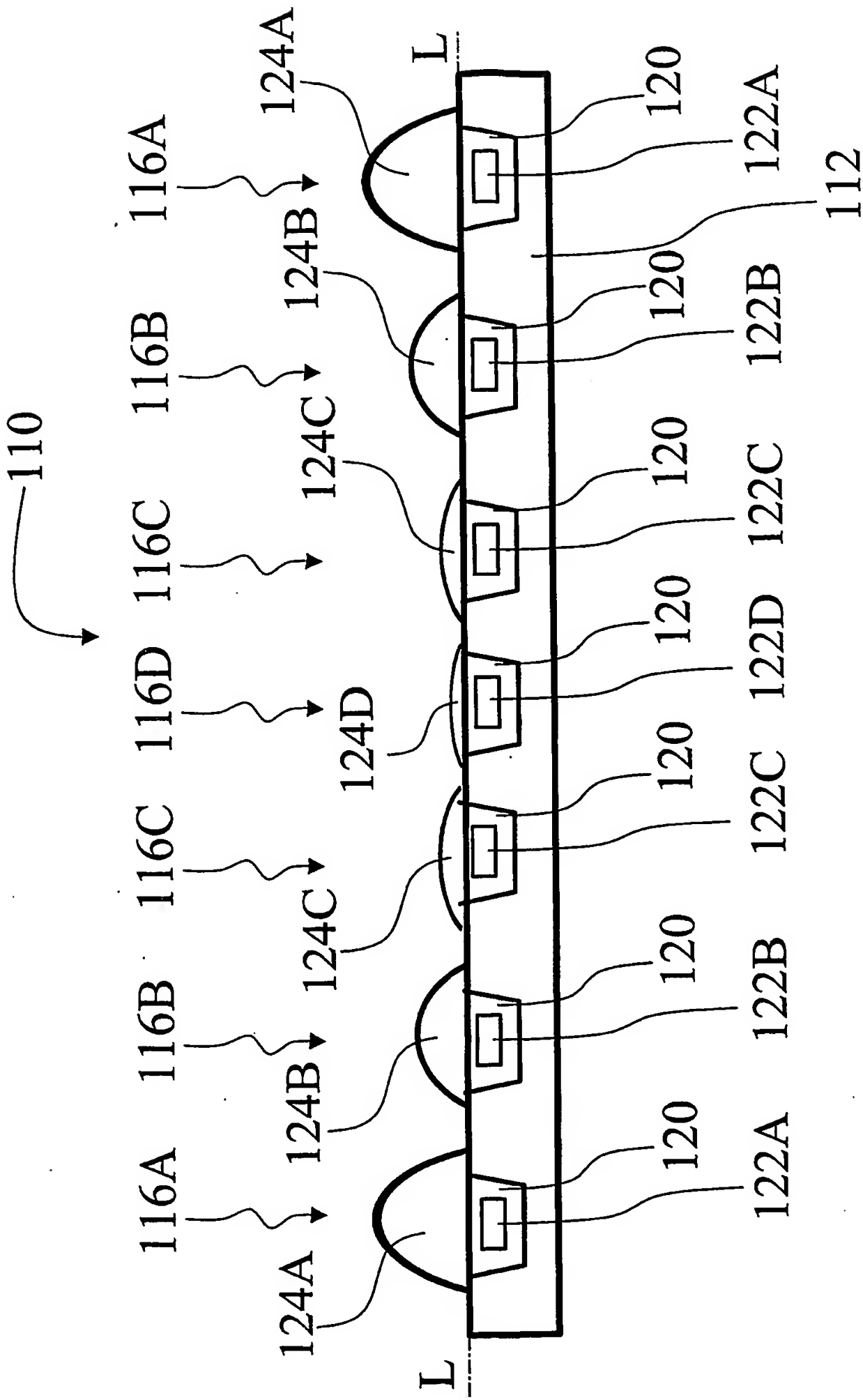


FIG 10

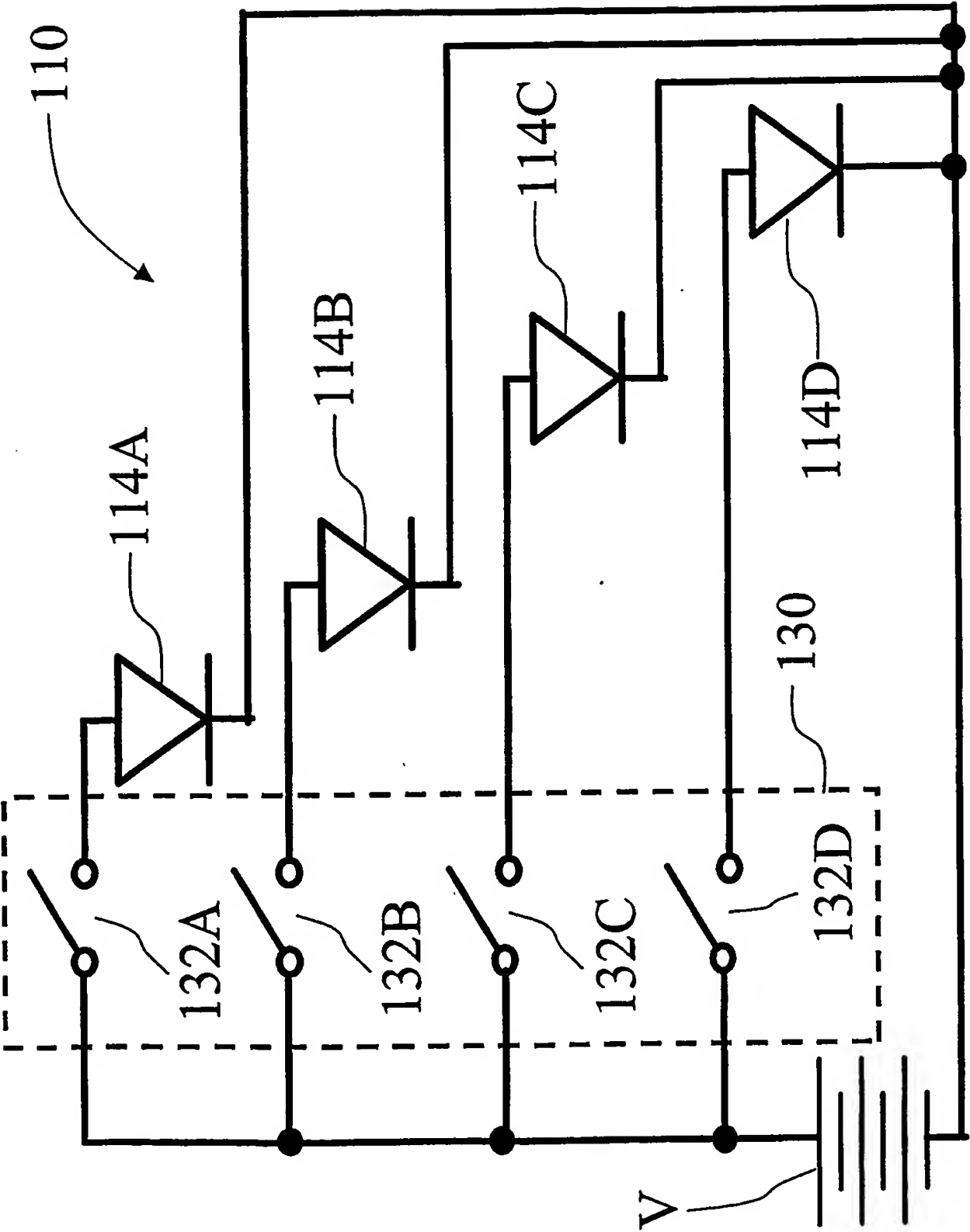
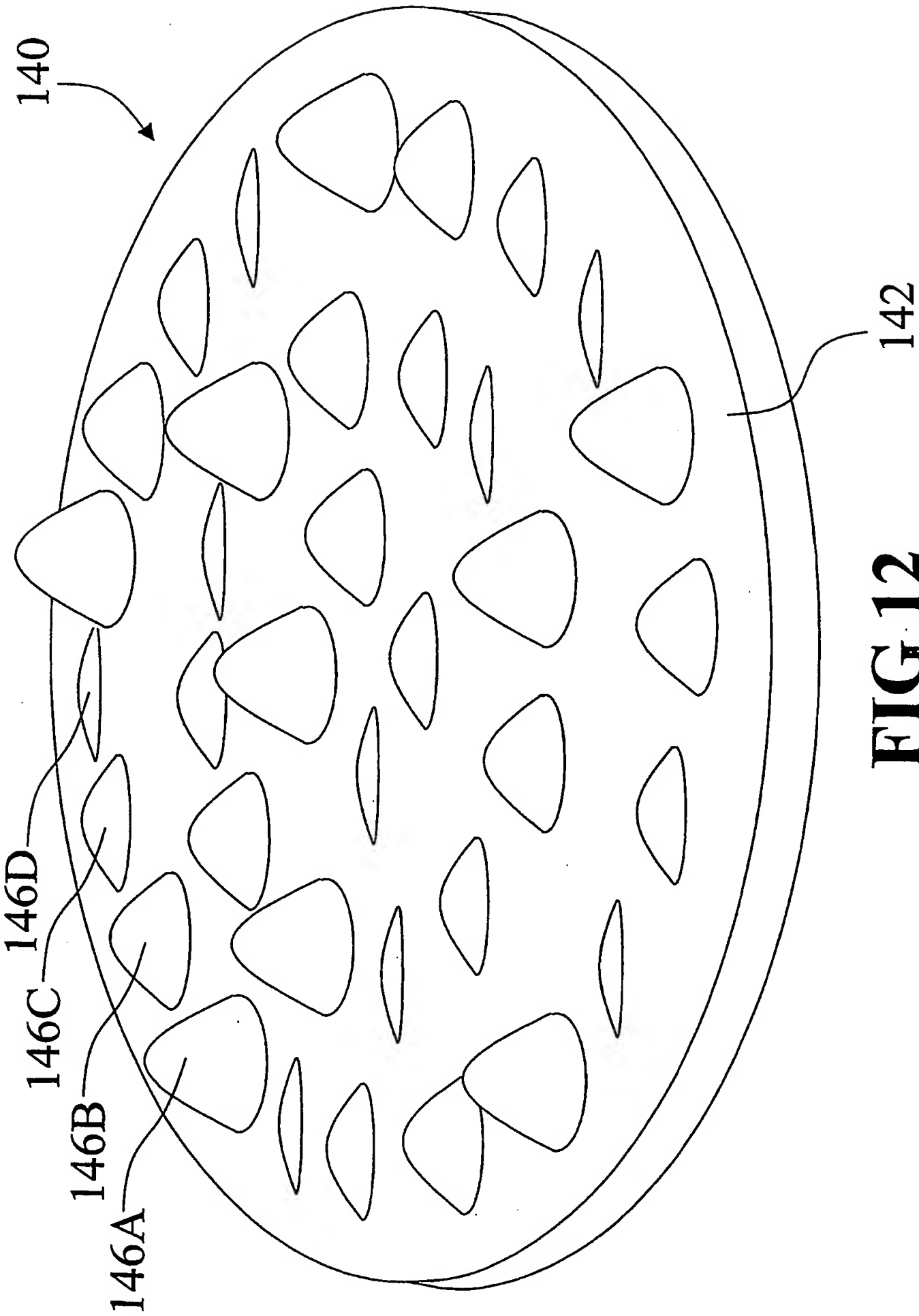


FIG 11





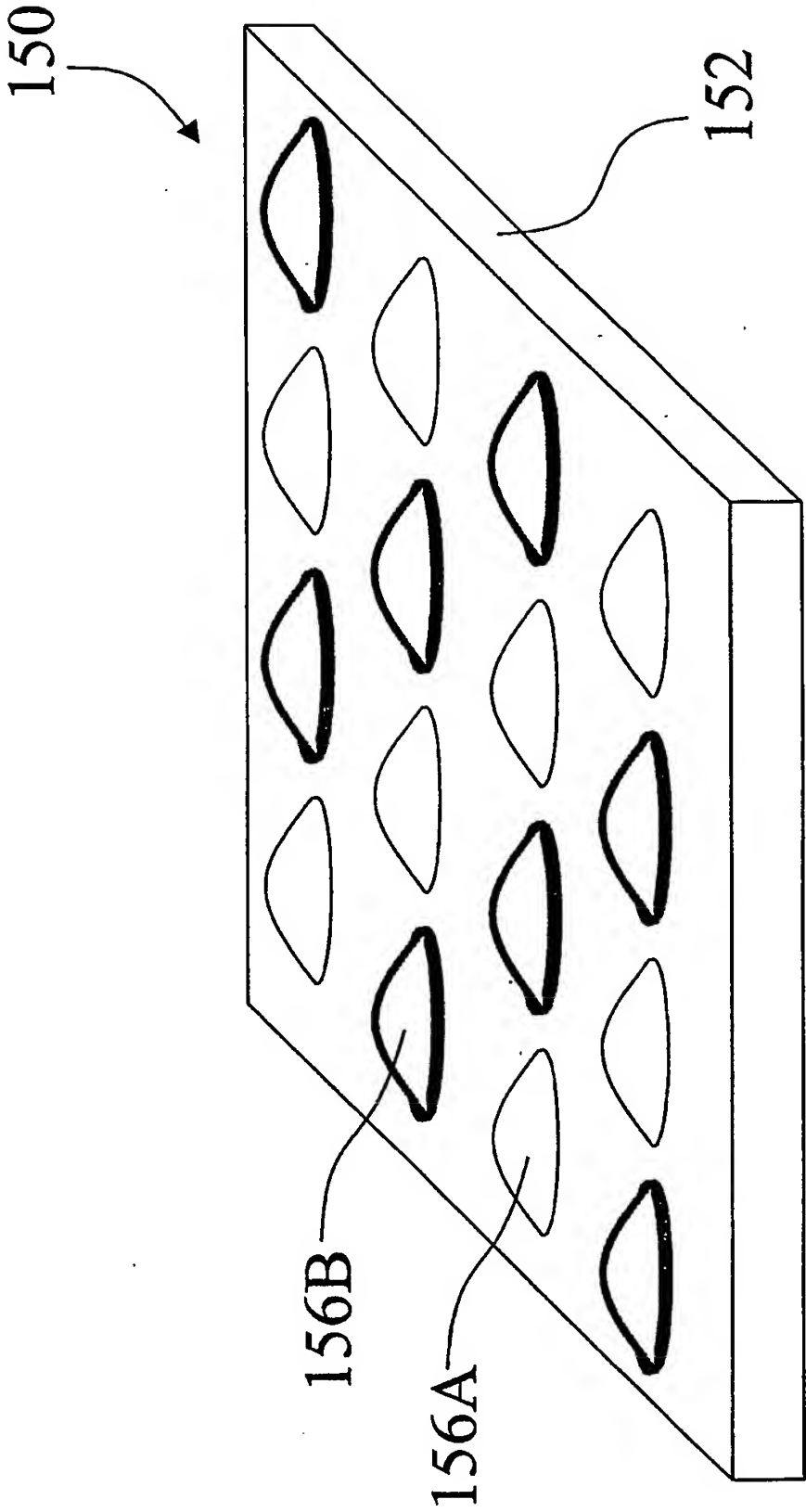


FIG 13

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/29561

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 F21V19/02 F21V14/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 F21V

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 1 072 884 A (KELLY WILLIAM M) 31 January 2001 (2001-01-31)  column 4, line 18-44 column 5, line 13-33 column 8, line 26-58 column 9, line 1-46 column 10, line 21-49 column 11, line 3-13; figures 1-6	1-3, 5-12, 19-24, 26
Y	---	16, 18
Y	US 5 580 163 A (JOHNSON II HOWARD W) 3 December 1996 (1996-12-03) column 3, line 16-67 column 4, line 14-51; figures 2-4, 6  --- -/--	16, 18

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&amp;\* document member of the same patent family

Date of the actual completion of the international search

26 November 2002

Date of mailing of the international search report

12/12/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Bader-Arboreanu, A

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 02/29561

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US 6 033 087 A (KAWASHIMA TOKIO ET AL)  7 March 2000 (2000-03-07)  column 3, line 24-64  column 5, line 55-67  column 6, line 1-44</p>	20

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No  
PCT/US 02/29561

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
EP 1072884	A	31-01-2001	EP	1072884 A2	31-01-2001
			US	6454437 B1	24-09-2002
US 5580163	A	03-12-1996	NONE		
US 6033087	A	07-03-2000	JP	3106986 B2	06-11-2000
			JP	10021703 A	23-01-1998
			TW	402856 B	21-08-2000